

| FORM PTO-1449<br><br><u>INFORMATION DISCLOSURE STATEMENT</u>        |     |                 |  | ATTY. DOCKET NO.<br>SP047.C9 |                         | APPLICATION NO.<br>To Be Assigned       |                   |
|---|-----|-----------------|--|------------------------------|-------------------------|---|-------------------|
|   |     |                 |  | APPLICANT<br>TRUONG et al.   |                         |   |                   |
|   |     |                 |  | FILING DATE<br>Herewith      |                         | GROUP<br><del>To Be Assigned</del> 2816 |                   |
| <b>U.S. PATENT DOCUMENTS</b>  |     |                 |  |                              |                         |   |                   |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER | DATE   | NAME                         | CLASS                   | SUB-CLASS                               | FILING DATE       |
| <i>W</i>  | AA1 | 3,622,809       | 11/1971  | Williams                     | 327                     | 271                                     |                   |
| <i>W</i>  | AB1 | 3,953,744       | 04/1976  | Kawagoe                      | 327                     | 144                                     |                   |
| <i>W</i>  | AC1 | 4,369,381       | 01/1983  | Okamoto et al.               | 327                     | 206                                     |                   |
| <i>W</i>  | AD1 | 4,417,158       | 11/1983  | Ito et al.                   | 327                     | 295                                     |                   |
| <i>W</i>  | AE1 | 4,623,805       | 11/1986  | Flora et al.                 | 327                     | 152                                     |                   |
| <i>W</i>  | AF1 | 4,677,499       | 06/1987  | Shirota et al.               | 325                     | 289                                     |                   |
| <i>W</i>  | AG1 | 4,827,488       | 05/1989  | Shibano                      | 325                     | 328                                     |                   |
| <i>W</i>  | AH1 | 4,845,390       | 07/1989  | Chan                         | 327                     | 278                                     |                   |
| <i>W</i>  | AI1 | 4,894,791       | 01/1990  | Jiang et al.                 | 327                     | 393                                     |                   |
| <i>W</i>  | AJ1 | 4,905,192       | 02/1990  | Nogami et al.                | 327                     | 535                                     |                   |
| <i>W</i>  | AK1 | 4,929,854       | 05/1990  | Iino et al.                  | 327                     | 297                                     |                   |
| <b>FOREIGN PATENT DOCUMENTS</b>                                     |     |                 |  |                              |                         |   |                   |
| EXAMINER INITIAL  |     | DOCUMENT NUMBER | DATE   | COUNTRY                      | CLASS                   | SUB-CLASS                               | TRANSLATION       |
| <i>W</i>  | AL1 | 64-68016        | 03/1989  | Japan                        | —                       | —                                       | Yes<br>(Doc. AR1) |
| <i>W</i>  | AM1 | 2-199918        | 08/1990  | Japan                        | —                       | —                                       | No                |
| <i>W</i>  | AN1 | 3-102911        | 04/1991  | Japan                        | —                       | —                                       | No                |
| <i>W</i>  | AO1 | 3-175720        | 07/1991  | Japan                        | —                       | —                                       | No                |
| <i>W</i>  | AP1 | 2-124627        | 05/1990  | Japan                        | —                       | —                                       | Yes<br>No         |
| <b>OTHER (Including Author, Title, Date, Pertinent Pages, etc.)</b> |     |                 |  |                              |                         |   |                   |
| <i>W</i>  | AR  | 1               | English Language Translation of Japanese Patent JP-64-68016, pp. 1-12. |                              |                         |   |                   |
|   | AS  | 1               |  |                              |                         |   |                   |
|   | AT  | 1               |  |                              |                         |   |                   |
| EXAMINER <i>[Signature]</i>   |     |                 |  |                              | DATE CONSIDERED 3/26/04 |   |                   |

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| U.S. PATENT DOCUMENTS |     |                 |         |                  |       |           |             |
|-----------------------|-----|-----------------|---------|------------------|-------|-----------|-------------|
| EXAMINER INITIAL      |     | DOCUMENT NUMBER | DATE    | NAME             | CLASS | SUB-CLASS | FILING DATE |
| <i>n</i>              | AA2 | 5,047,659       | 09/1991 | Ulrich           | 327   | 259       |             |
| <i>n</i>              | AB2 | 5,128,554       | 07/1992 | Hoshizaki        | 327   | 147       |             |
| <i>n</i>              | AC2 | 5,345,110       | 09/1994 | Renfro et al.    | 327   | 525       |             |
| <i>n</i>              | AD2 | RE34,808        | 12/1994 | Hsieh            | 326   | 71        |             |
| <i>n</i>              | AE2 | 5,444,405       | 08/1995 | Truong et al.    | 327   | 239       |             |
| <i>n</i>              | AF2 | 5,479,132       | 12/1995 | Verhaeghe et al. | 327   | 553       |             |
| <i>n</i>              | AG2 | 5,831,459       | 11/1998 | McDonald         | 327   | 141       |             |
| <i>n</i>              | AH2 | 5,966,037       | 10/1999 | Truong et al.    | 327   | 239       |             |
| <i>n</i>              | AI2 | 5,977,809       | 11/1999 | Wang et al.      | 327   | 239       |             |
| <i>n</i>              | AJ2 | 6,163,194       | 12/2000 | Truong et al.    | 327   | 259       |             |
| <i>n</i>              | AK2 | 6,323,711 B2    | 11/2001 | Truong et al.    | 327   | 259       |             |

  

| FOREIGN PATENT DOCUMENTS |     |                 |         |         |       |           |             |
|--------------------------|-----|-----------------|---------|---------|-------|-----------|-------------|
| EXAMINER INITIAL         |     | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUB-CLASS | TRANSLATION |
| <i>n</i>                 | AL2 | 4-216213        | 08/1992 | Japan   | —     | —         | Yes<br>No   |
| <i>n</i>                 | AM2 | 4-104511        | 4/1992  | Japan   | —     | —         | Yes<br>No   |
| <i>n</i>                 | AN2 | 63-253715       | 10/1998 | Japan   | —     | —         | Yes<br>No   |
| <i>n</i>                 | AO2 | 4-207216        | 07/1992 | Japan   | —     | —         | Yes<br>No   |
|                          | AP2 |                 |         |         |       |           | Yes<br>No   |

  

| OTHER (Including Author, Title, Date, Pertinent Pages, etc.) |    |   |  |
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|  | AR | 2 |  |
|  | AS | 2 |  |
|  | AT | 2 |  |

  

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| U.S. PATENT DOCUMENTS  |     |                    |         |                              |                         |   |             |
| EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER | DATE    | NAME                         | CLASS                   | SUB-<br>CLASS                           | FILING DATE |
| <i>JK</i>  | AA3 | 6,489,826 B2       | 12/2002 | Truong et al.                | 327                     | 259                                     |             |
| <i>JK</i>  | AB3 | 5,041,738          | 08/1991 | Walters, Jr.                 | 327                     | 130                                     |             |
| <i>JK</i>  | AC3 | 5,155,379          | 10/1992 | Narahara                     | 327                     | 292                                     |             |
|  | AD3 |                    |         |                              |                         |   |             |
|  | AE3 |                    |         |                              |                         |   |             |
|  | AF3 |                    |         |                              |                         |   |             |
|  | AG3 |                    |         |                              |                         |   |             |
|  | AH3 |                    |         |                              |                         |   |             |
|  | AI3 |                    |         |                              |                         |   |             |
|  | AJ3 |                    |         |                              |                         |   |             |
|  | AK3 |                    |         |                              |                         |   |             |
| FOREIGN PATENT DOCUMENTS   |     |                    |         |                              |                         |   |             |
| EXAMINER<br>INITIAL  |     | DOCUMENT<br>NUMBER | DATE    | COUNTRY                      | CLASS                   | SUB-<br>CLASS                           | TRANSLATION |
|  | AL3 |                    |         |                              |                         |   | Yes<br>No   |
|  | AM3 |                    |         |                              |                         |   | Yes<br>No   |
|  | AN3 |                    |         |                              |                         |   | Yes<br>No   |
|  | AO3 |                    |         |                              |                         |   | Yes<br>No   |
|  | AP3 |                    |         |                              |                         |   | Yes<br>No   |
| OTHER (Including Author, Title, Date, Pertinent Pages, etc.)   |     |                    |         |                              |                         |   |             |
|  | AR  | 3                  |         |                              |                         |   |             |
|  | AS  | 3                  |         |                              |                         |   |             |
|  | AT  | 3                  |         |                              |                         |   |             |
| EXAMINER <i>JK</i>   |     |                    |         |                              | DATE CONSIDERED 3/26/04 |   |             |
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